



CP 21-265  
1/2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Thomas M. Gooding : Date: November 16, 2004  
Group Art Unit: 2126 : IBM Corporation  
Examiner: V. Nguyen : Intellectual Property Law  
Serial No.: 09/819,188 : Dept. 917, Bldg. 006-1  
Filed: March 28, 2001 : 3605 Highway 52 North  
Title: METHOD FOR TRANSMITTING  
FUNCTION PARAMETERS TO A  
REMOTE NODE FOR EXECUTION  
OF THE FUNCTION THEREON : Rochester, MN 55901

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. 09/819,188  
Docket No. ROC920010087US1

- 1 -

11/16/2004 11:55:22 60000033 030459 09819188  
01 FC:1003 \$00.00 D3

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(c) before a final action or notice of allowance. The filing fee of \$180.00 (for other than a small entity) is to be charged to our Deposit Account No. 09-0465.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

November 16, 2004  
(Date of Deposit)

Susan K. Berge  
Susan K. Berge

Respectfully submitted,

THOMAS M. GOODING

By Robert R. Williams

Robert R. Williams, Patent Agent  
Agent Reg. No. 48,395  
IBM Corporation - Department 917  
3605 Highway 52 North  
Rochester, Minnesota 55901-7829  
Telephone: 1-507-253-2761


**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 1

Application Number.: 09/819,188  
 Filing Date: March 28, 2001  
 First Named Inventor: T. M. Gooding  
 Art Unit: 2126  
 Examiner Name: V. Nguyen  
 Attorney Docket Number.: ROC920010087US1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	<u>Document Number</u> Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 4,274,139	06-16-1981	Hodgkinson, et al.	
		US - 5,265,250	11-23-1993	Andrade, et al.	
		US - 5,442,791	08-15-1995	Wrabetz, et al.	
		US - 5,898,835	04-27-1999	Truong, Timothy M.	
		US - 5,918,011	06-29-1999	Watanabe, et al.	
		US - 5,918,015	06-29-1999	Suzuki, et al.	
		US - 5,953,514	09-14-1999	Gochee, James R.	
		US - 6,223,217 B1	04-24-2001	Pettus, Christopher E.	
		US - 6,418,484 B1	07-09-2002	Radia, Sanjay R.	
		US -			
		US -			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	<u>Foreign Patent Document</u> Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.